

Telefonaktiebolaget LM Ericsson

Conflict Minerals Report for the year ended December 31, 2022

This Conflict Minerals Report of Telefonaktiebolaget LM Ericsson (herein referred to as “Ericsson,” the “Company,” “we,” “us” or “our”) for the year ended December 31, 2022 is provided pursuant to Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the “Rule”). The Rule was adopted by the U.S. Securities and Exchange Commission (the “SEC”) to implement disclosure and reporting requirements pursuant to Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. As permitted by the Rule and the SEC, this report has not been subject to an independent private sector audit.

1. Introduction

Ericsson is a global provider of hardware, software, and services – especially in networks, digital services, managed services and new businesses – to the telecom industry and other sectors to enable the full value of connectivity. This report has been prepared by the management of Ericsson. This report does not include the activities of entities that are not required to be consolidated in our financial statements. In accordance with the Rule, this report is available on our website: <https://www.ericsson.com/en/investors/financial-reports/sec-filings>.

2. Supply Chain Description and Reasonable Country of Origin Inquiry

2.1 General information on Ericsson’s supply chain

Ericsson’s hardware largely consists of electronics. For manufacturing, the Company purchases customized and standardized components and services from both global, regional, and local suppliers. The production of electronic modules and sub-assemblies is mostly outsourced to manufacturing services companies. Ericsson is focusing internal manufacturing on new product introductions and new technologies.

There are often several tiers of suppliers between Ericsson and any smelters or refiners of minerals, even more so when tracing a mineral all the way back to the mines. As a result, the Company does not normally have a direct purchasing relationship with mines, smelters, or refiners.

2.2 Overview of Ericsson’s Conflict Minerals Program and Reasonable Country of Origin Inquiry

In 2020, Ericsson’s Conflict Minerals Program was extended to also cover cobalt. Ericsson gathers materials information from our suppliers. Through this process, we know that many of the components used in our products contain small amounts of tin, tungsten, tantalum, gold (“3TG”) and cobalt that are necessary to the functionality of those products.

As part of Ericsson’s commitment to responsible sourcing and human rights, it is our goal that the 3TG and cobalt in our products should not, directly or indirectly, finance or benefit armed groups in the Democratic Republic of Congo (the “DRC”) or adjoining countries. At the same time, we aim to continue supporting responsible mineral sourcing in the region. Ericsson’s efforts with respect to 3TG and cobalt are aligned with the Responsible Minerals Initiative (“RMI”), and our aim is to improve traceability in our supply chain. Ericsson does not prohibit the sourcing of materials from the DRC or adjoining countries or avoid using 3TG or cobalt since Ericsson believes that such a ban could result in negative consequences for responsible mining companies, their workers and the most vulnerable groups in society. The Company has a Conflict Minerals Program to fulfill compliance with the Rule, including performing the Reasonable Country of Origin Inquiry and reporting on 3TG and cobalt.

Under Ericsson’s Code of Conduct for Business Partners, Ericsson relies on its first-tier suppliers to provide information on the origin of 3TG and cobalt contained in components and parts included in Ericsson’s

products. This includes sources of 3TG and cobalt that are supplied to them from sub-suppliers. Ericsson's first-tier suppliers are required to comply with the requirements in Ericsson's Code of Conduct for Business Partners, which requires due diligence to be exercised with respect to the sourcing and extraction of raw materials, including 3TG and cobalt.

Ericsson's suppliers' due diligence shall be consistent with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the related Supplements for gold, tin, tantalum and tungsten (the "OECD Guidance"). Furthermore, suppliers are required to comply with the requirements of the Ericsson Lists of Banned and Restricted Substances and the Ericsson Code of Conduct for Business Partners, which include an obligation to have appropriate due diligence processes in place to identify the source and chain of custody of raw materials including 3TG. Our first-tier suppliers are similarly reliant upon information provided by their suppliers.

The identification of our first-tier suppliers that are within the scope of the Rule involves different parts of Ericsson's global organization, with our sourcing organization leading and coordinating this work. Suppliers identified to be in scope are our first-tier suppliers supplying (i) products containing 3TG or cobalt that Ericsson contracts to manufacture, (ii) materials, parts, components and other products, containing 3TG or cobalt, which are incorporated into or included in a product manufactured by Ericsson, or (iii) materials used in the production of Ericsson's products where 3TG or cobalt becomes part of the final product. Suppliers of products that Ericsson does not contract to manufacture (i.e., products purchased "off-the shelf") or not included in or incorporated into an Ericsson product have been considered out of scope for purposes of the Rule. In our annual inquiry, we survey first-tier suppliers identified to be in scope and analyze and follow up on the responses as we deem appropriate.

Ericsson believes that industry cooperation is one of the best ways to address issues related to 3TG and cobalt in an efficient and transparent way. We typically do not have a direct relationship with 3TG and cobalt smelters and refiners, and we do not perform direct audits of these entities within our supply chain. In accordance with the OECD Guidance, we support the RMI's audit of smelters' and refiners' due diligence activities mainly via the Responsible Minerals Assurance Process ("RMAP").

3. Due Diligence

Ericsson has a process in place for the purpose of exercising due diligence in the supply chain. The design of the due diligence processes conforms to the OECD Guidance as it relates to "downstream" purchasers of products and components. The due diligence processes have been described below under sub-headings corresponding to the five-step framework of the OECD Guidance. Ericsson's Conflict Minerals Program focuses on identifying the origin of 3TG and cobalt; however, Ericsson acknowledges that other human rights and environmental risks, such as forced labor and child labor, health and safety, land-related rights and community rights are also salient relating to sourcing of 3TG and cobalt. Ericsson is currently engaged in industry dialogues relating to these issues.

3.1 Maintain strong management systems

The first step of the OECD Guidance relates to strong management systems. As mentioned above, Ericsson has established a Conflict Minerals Program for reporting on 3TG and cobalt and compliance with the Rule. Controls include, but are not limited to, our Code of Business Ethics and Code of Conduct for Business Partners, which outline required behaviors for all Ericsson's employees and suppliers (<https://www.ericsson.com/en/about-us/sustainability-and-corporate-responsibility/responsible-business/responsible-sourcing/supplier-requirements-related-to-responsible-sourcing>), and the Ericsson Lists of Banned and Restricted Substances, which include supplier requirements to have diligence processes in place to identify the source and chain of custody of raw materials including 3TG, and are integrated in our template supplier agreements.

In 2022, the identification of smelters and refiners in our supply chain was made via the use of due diligence tools created by the RMI, including the Conflict Minerals Reporting Template 6.1 and the Cobalt Reporting Template (the "Templates"). The Templates are standardized supply chain surveys designed to gather information regarding the smelters and refiners that process 3TG and cobalt used in our supply chain. The information from our suppliers, in combination with information from the RMI, helps Ericsson to obtain information on the origin of minerals processed. Data obtained was reviewed, analyzed and retained by Ericsson.

In Ericsson's Code of Conduct for Business Partners, the topic of responsible sourcing of raw materials is included with clear requirements of suppliers to exercise due diligence in accordance with the OECD Guidance.

Employees are encouraged to report conduct that could violate the law, Ericsson's policies, including the Code of Business Ethics or the Code of Conduct for Business Partners, and related steering documents, to their respective manager. If the manager is unavailable, there are other channels that an employee may use to report such conduct, including reporting to the superior of a manager, Group Functions People, or Legal Affairs and Compliance. Reportable conduct may relate to corruption, fraud, auditing, questionable accounting, deficiencies in internal controls, personal health and safety, environmental issues, human right matters, workplace respect and fairness or other matters that could constitute a breach of law, or that could harm the sustainability or reputation of Ericsson, its employees and shareholders. In addition, Ericsson provides employees and other external stakeholders a dedicated communication channel for reporting compliance concerns – the Ericsson Compliance Line, operated by a third party, for the reporting of alleged violations of laws, the Code of Business Ethics or the Code of Conduct for Business Partners. The Ericsson Compliance Line is available 24 hours per day, seven days per week, 365 days per year. Ericsson recognizes that the Ericsson Compliance Line might not be the most effective way of capturing issues related to sourcing of 3TG or cobalt and intends to further explore other mechanisms that may be more effective, like the Minerals Grievance Platform managed by RMI.

Ericsson has published a "Statement on sourcing of minerals from Conflict-Affected and High-Risk Areas" on its website (<https://www.ericsson.com/49dadc/assets/local/about-ericsson/sustainability-and-corporate-responsibility/documents/2020/statement-on-sourcing-of-minerals-from-cahra.pdf>) that indicates Ericsson's position and expected supplier activities in this area.

3.2 Identify and assess risk in the supply chain

In the second step of the OECD Guidance, risks are identified and assessed. For downstream companies such as Ericsson, the focus should be on the smelters and refiners. Downstream companies should, with help from their first-tier suppliers, identify smelters and refiners in the supply chain.

In Ericsson's 2022 Conflict Minerals' survey, first-tier suppliers identified to be in scope were requested by Ericsson to provide information on 3TG and cobalt in their supply chain through completing and submitting the Conflict Minerals Reporting Template and the Cobalt Reporting Template. Suppliers were provided with

the Templates, support material, including the available instructions and training illustrating the use of the tool that is available on RMI's website. To ensure quality, a high response rate and to minimize risks, the responses were analyzed and follow up questions were asked as deemed appropriate. Data obtained was saved, reviewed and analyzed by Ericsson. Supplier responses were reviewed against criteria developed to determine which responses required further engagement with our suppliers. In certain circumstances, suppliers were contacted via e-mail or telephone to improve the quality of responses (obtaining missing information, updating Templates' formats to the required version) and increase the response rate (reminding supplier to provide information in the templates with extended deadlines).

3.3 Design and implement a strategy to respond to identified risks

Under the third step of the OECD Guidance, companies are encouraged to design and implement a strategy to respond to identified risks. Due diligence within Ericsson is an ongoing process. Ericsson publishes additional information about its commitment to responsible sourcing and engagement with its suppliers in its Sustainability and Corporate Responsibility Report, published together with the Annual Report.

We continuously work to improve our due diligence with respect to 3TG and cobalt, primarily focusing on the quality of data from suppliers surveyed. This includes communication and collaboration with suppliers for purposes of improving the response rate of surveyed suppliers and improving the reliability and quality of the information provided. As described above, we have a risk-based approach when reviewing and following up on supplier responses and generally prioritize following up with suppliers that are awarded large parts of our business. For example, if a supplier reports non-conformant smelters or refiners, the relevant sourcing responsible manager is notified for purposes of initiating a discussion with the supplier.

3.4 Independent third-party audit of smelters'/refiners' due diligence practices

The fourth step of the OECD Guidance focuses on audits of smelters'/refiners' due diligence practices. Through our Responsible Business Alliance ("RBA") membership, we are also members of the RMI, supporting the RMAP as well as the development and implementation of due diligence practices and tools such as the Templates. Due to the structure of our supply chain, there are generally many tiers of suppliers between Ericsson and the smelters and refiners in the supply chain. Therefore, we have, as mentioned in section 2.2, not performed our own audits of smelters or refiners.

3.5 Report annually on supply chain due diligence

The fifth step of the OECD Guidance encourages reporting on supply chain due diligence. We have a public statement on sourcing of minerals from Conflict Affected and High Risk Areas, including 3TG and cobalt, which is available on our website: <https://www.ericsson.com/49dadcd/assets/local/about-ericsson/sustainability-and-corporate-responsibility/documents/2020/statement-on-sourcing-of-minerals-from-cahra.pdf>.

In accordance with the Rule, we make our Conflict Minerals Report available on our website: <https://www.ericsson.com/en/investors/financial-reports/sec-filings>.

4. 2022 due diligence result

For the calendar year 2022, our inquiries were made on supplier level, and most supplier responses received also provided data at a company or divisional level, with only a few exceptions providing product-level information. Our inquiries include responses where we believe that the names of smelters or refiners may have been misidentified as smelters and refiners or smelters or refiners that we believe are not operational. Such names have not been listed among identified smelters and refiners.

The response rate among suppliers surveyed in 2022 was 54%, which is significantly lower as compared to prior years. Several such new suppliers had not previously responded to questions on Conflict Minerals. Ericsson plans to continue to engage with new suppliers that did not respond with such information to increase response rates. Among the supplier responses, we identified 487 smelters as potential sources of 3TG and cobalt that were reported to be in the supply chain during the 2022 calendar year. The following table presents, by mineral, the number of identified smelters or refiners verified as conflict-free by the RMAP or mutually recognized audit systems. In case a supplier has identified non-conformant smelters in their supply chain, Ericsson engages with such suppliers to phase-out non-conformant smelters and refiners or to encourage smelters to participate in RMAP.

Table 1. RMAP eligible smelters and refiners identified by Ericsson via inquiries to our suppliers in 2022

| Metal | Identified smelters or refiners validated by RMAP as conformant | Identified smelters or refiners participating in RMAP* | Identified smelters or refiners not participating in RMAP** | Total number of identified smelters |
|----------|---|--|---|-------------------------------------|
| Tantalum | 34 | 0 | 9 | 43 |
| Tungsten | 37 | 1 | 20 | 62 |
| Tin | 63 | 6 | 33 | 112 |
| Gold | 95 | 16 | 64 | 186 |
| Cobalt | 36 | 11 | 35 | 84 |

Note: The RMAP audit status of smelters and refiners are subject to change and the above represents information available from RMI on May 8, 2023.

*In the process of being audited or in communication but have not commenced the validation audit. (indicated as "active" or "in communication" in Annex 1)

**Have not commenced the RMAP validation audit (indicated as "Outreach Required," "Due Diligence Vetting Process," "Communication Suspended - Not Interested" and "RMI Due Diligence Review - Unable to Proceed" in Annex 1)

We are unable to validate that any of the identified smelters or refiners are in our supply chain. However, based on the information provided by Ericsson's suppliers during the 2022 calendar year, Ericsson believes that the facilities that may have been used to process 3TG or cobalt in Ericsson's products include the smelters and refiners listed in Annex 1 below. Ericsson does not have enough information to determine with specificity all countries of origin of 3TG or cobalt in our products or whether they are from recycled and scrap sources. Nevertheless, based on data from RMI and RMAP, Ericsson generated a list of Reasonable Country of Origin for 3TG and cobalt based on smelters and refiners identified as shown in Annex 2.

We support the refinement and expansion of the list of participating smelters and have determined that seeking information about 3TG and cobalt smelters and refiners in our supply chain represents the most reasonable effort we can make to determine the mines or locations of origin of 3TG and cobalt in our supply chain.

During 2023, we anticipate continuing to review and evolve our Conflict Minerals due diligence processes with respect to 3TG and cobalt. As part of these efforts, we expect to continue to collaborate with suppliers to maintain a high supplier response rate and improve the reliability and quality of information provided. Our engagement in the RMI program is anticipated to continue.

5. Forward-Looking Statements

Certain of the matters discussed in this report, including our due diligence processes with respect to 3TG and cobalt, include forward-looking statements. Readers of this report are cautioned that our forward-looking statements are not guarantees of our future actions, which may differ materially from the expectations expressed in the forward-looking statements. We expressly disclaim a duty to provide updates to these forward-looking statements after the date of this filing to reflect events or changes in circumstances or changes in expectations or the occurrence of anticipated events.

The information included on any websites that appears in this report and other materials referenced to is not incorporated by reference in this report.

Annex 1

Smelters and refiners identified by Ericsson via inquiries to our suppliers during 2022¹, note that this list includes smelters and refiners not eligible for RMAP.

¹ The audit status of smelters and refiners are subject to change, and Annex 1 represents information available from the RMI on May 17, 2022.

Exhibit 1.01 Conflict Minerals Report

| No | Metal | Smelter ID | Smelter Name | Smelter Facility Location: Country | Audit Status |
|----|-------|------------|---|------------------------------------|--|
| 1 | Gold | CID002763 | 8853 S.p.A. | ITALY | Non Conformant |
| 2 | Gold | CID002920 | ABC Refinery Pty Ltd. | AUSTRALIA | Outreach Required |
| 3 | Gold | CID002708 | Abington Reldan Metals, LLC | UNITED STATES OF AMERICA | Conformant |
| 4 | Gold | CID000015 | Advanced Chemical Company | UNITED STATES OF AMERICA | Conformant |
| 5 | Gold | CID003185 | African Gold Refinery | UGANDA | RMI Due Diligence Review - Unable to Proceed |
| 6 | Gold | CID000035 | Agosi AG | GERMANY | Conformant |
| 7 | Gold | CID000019 | Aida Chemical Industries Co., Ltd. | JAPAN | Conformant |
| 8 | Gold | CID002560 | Al Etihad Gold Refinery DMCC | UNITED ARAB EMIRATES | Conformant |
| 9 | Gold | CID003500 | Alexy Metals | UNITED STATES OF AMERICA | Active |
| 10 | Gold | CID000041 | Almalyk Mining and Metallurgical Complex (AMMC) | UZBEKISTAN | Conformant |
| 11 | Gold | CID000058 | AngloGold Ashanti Córrego do Sítio Mineração | BRAZIL | Conformant |
| 12 | Gold | CID000077 | Argor-Heraeus S.A. | SWITZERLAND | Conformant |
| 13 | Gold | CID000082 | Asahi Pretec Corp. | JAPAN | Conformant |
| 14 | Gold | CID000924 | Asahi Refining Canada Ltd. | CANADA | Conformant |

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|----|------|-----------|---|--------------------------|-------------------|
| 15 | Gold | CID000920 | Asahi Refining USA Inc. | UNITED STATES OF AMERICA | Conformant |
| 16 | Gold | CID000090 | Asaka Riken Co., Ltd. | JAPAN | Conformant |
| 17 | Gold | CID000103 | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | TURKEY | Outreach Required |
| 18 | Gold | CID002850 | AU Traders and Refiners | SOUTH AFRICA | Non Conformant |
| 19 | Gold | CID003461 | Augmont Enterprises Private Limited | INDIA | Active |
| 20 | Gold | CID000113 | Aurubis AG | GERMANY | Conformant |
| 21 | Gold | CID002863 | Bangalore Refinery | INDIA | Conformant |
| 22 | Gold | CID000128 | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES | Conformant |
| 23 | Gold | CID000157 | Boliden AB | SWEDEN | Conformant |
| 24 | Gold | CID000176 | C. Hafner GmbH + Co. KG | GERMANY | Conformant |
| 25 | Gold | CID003421 | C.I Metales Procesados Industriales SAS | COLOMBIA | Active |
| 26 | Gold | CID000180 | Caridad | MEXICO | Outreach Required |
| 27 | Gold | CID000185 | CCR Refinery - Glencore Canada Corporation | CANADA | Conformant |
| 28 | Gold | CID000189 | Cendres + Métaux S.A. | SWITZERLAND | Non Conformant |
| 29 | Gold | CID003382 | CGR Metalloys Pvt Ltd. | INDIA | Outreach Required |
| 30 | Gold | CID000233 | Chimet S.p.A. | ITALY | Conformant |
| 31 | Gold | CID000264 | Chugai Mining | JAPAN | Conformant |

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|----|------|-----------|---|----------------------|--|
| 32 | Gold | CID000343 | Daye Non-Ferrous Metals Mining Ltd. | CHINA | In Communication |
| 33 | Gold | CID002867 | Degussa Sonne / Mond Goldhandel GmbH | GERMANY | Outreach Required |
| 34 | Gold | CID003348 | Dijllah Gold Refinery FZC | UNITED ARAB EMIRATES | Outreach Required |
| 35 | Gold | CID003663 | Dongwu Gold Group | CHINA | Outreach Required |
| 36 | Gold | CID000401 | Dowa | JAPAN | Conformant |
| 37 | Gold | CID000359 | DSC (Do Sung Corporation) | KOREA, REPUBLIC OF | Conformant |
| 38 | Gold | CID000425 | Eco-System Recycling Co., Ltd. East Plant | JAPAN | Conformant |
| 39 | Gold | CID003424 | Eco-System Recycling Co., Ltd. North Plant | JAPAN | Conformant |
| 40 | Gold | CID003425 | Eco-System Recycling Co., Ltd. West Plant | JAPAN | Conformant |
| 41 | Gold | CID003487 | Emerald Jewel Industry India Limited (Unit 1) | INDIA | In Communication |
| 42 | Gold | CID003488 | Emerald Jewel Industry India Limited (Unit 2) | INDIA | In Communication |
| 43 | Gold | CID003489 | Emerald Jewel Industry India Limited (Unit 3) | INDIA | In Communication |
| 44 | Gold | CID003490 | Emerald Jewel Industry India Limited (Unit 4) | INDIA | In Communication |
| 45 | Gold | CID002561 | Emirates Gold DMCC | UNITED ARAB EMIRATES | Conformant |
| 46 | Gold | CID002515 | Fidelity Printers and Refiners Ltd. | ZIMBABWE | RMI Due Diligence Review - Unable to Proceed |

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|----|------|-----------|---|--------------------------|-------------------|
| 47 | Gold | CID002584 | Fujairah Gold FZC | UNITED ARAB EMIRATES | Outreach Required |
| 48 | Gold | CID002459 | Geib Refining Corporation | UNITED STATES OF AMERICA | Conformant |
| 49 | Gold | CID002852 | GGC Gujrat Gold Centre Pvt. Ltd. | INDIA | Active |
| 50 | Gold | CID003641 | Gold by Gold Colombia | COLOMBIA | Conformant |
| 51 | Gold | CID003186 | Gold Coast Refinery | GHANA | Outreach Required |
| 52 | Gold | CID002243 | Gold Refinery of Zijin Mining Group Co., Ltd. | CHINA | Conformant |
| 53 | Gold | CID001909 | Great Wall Precious Metals Co., Ltd. of CBPM | CHINA | Outreach Required |
| 54 | Gold | CID002312 | Guangdong Jinding Gold Limited | CHINA | Outreach Required |
| 55 | Gold | CID000651 | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | CHINA | Outreach Required |
| 56 | Gold | CID000671 | Hangzhou Fuchunjiang Smelting Co., Ltd. | CHINA | Outreach Required |
| 57 | Gold | CID000694 | Heimerle + Meule GmbH | GERMANY | Conformant |
| 58 | Gold | CID002519 | Smelter not listed | CHINA | Not Applicable |
| 59 | Gold | CID000711 | Heraeus Germany GmbH Co. KG | GERMANY | Conformant |
| 60 | Gold | CID000707 | Heraeus Metals Hong Kong Ltd. | CHINA | Conformant |
| 61 | Gold | CID000767 | Hunan Chenzhou Mining Co., Ltd. | CHINA | Outreach Required |

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|----|------|-----------|---|----------------------|--|
| 62 | Gold | CID000773 | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. | CHINA | Outreach Required |
| 63 | Gold | CID000778 | HwaSeong CJ Co., Ltd. | KOREA, REPUBLIC OF | Communication Suspended - Not Interested |
| 64 | Gold | CID002587 | Industrial Refining Company | BELGIUM | Non Conformant |
| 65 | Gold | CID000801 | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CHINA | Conformant |
| 66 | Gold | CID002562 | International Precious Metal Refiners | UNITED ARAB EMIRATES | In Communication |
| 67 | Gold | CID000807 | Ishifuku Metal Industry Co., Ltd. | JAPAN | Conformant |
| 68 | Gold | CID000814 | Istanbul Gold Refinery | TURKEY | Conformant |
| 69 | Gold | CID002765 | Italpreziosi | ITALY | Conformant |
| 70 | Gold | CID002893 | JALAN & Company | INDIA | Outreach Required |
| 71 | Gold | CID000823 | Japan Mint | JAPAN | Conformant |
| 72 | Gold | CID000855 | Jiangxi Copper Co., Ltd. | CHINA | Conformant |
| 73 | Gold | CID000927 | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 74 | Gold | CID000493 | JSC Novosibirsk Refinery | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 75 | Gold | CID000929 | JSC Uralelectromed | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |

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|----|------|-----------|---------------------------------------|--------------------------|--|
| 76 | Gold | CID000937 | JX Nippon Mining & Metals Co., Ltd. | JAPAN | Conformant |
| 77 | Gold | CID003497 | K.A. Rasmussen | NORWAY | Outreach Required |
| 78 | Gold | CID002563 | Kaloti Precious Metals | UNITED ARAB EMIRATES | RMI Due Diligence Review - Unable to Proceed |
| 79 | Gold | CID000956 | Kazakhmys Smelting LLC | KAZAKHSTAN | In Communication |
| 80 | Gold | CID000957 | Kazzinc | KAZAKHSTAN | Conformant |
| 81 | Gold | CID000969 | Kennecott Utah Copper LLC | UNITED STATES OF AMERICA | Conformant |
| 82 | Gold | CID002511 | KGHM Polska Miedź Spółka Akcyjna | POLAND | Conformant |
| 83 | Gold | CID000981 | Kojima Chemicals Co., Ltd. | JAPAN | Conformant |
| 84 | Gold | CID002605 | Korea Zinc Co., Ltd. | KOREA, REPUBLIC OF | Conformant |
| 85 | Gold | CID003463 | Kundan Care Products Ltd. | INDIA | In Communication |
| 86 | Gold | CID001029 | Kyrgyzaltyn JSC | KYRGYZSTAN | Non Conformant |
| 87 | Gold | CID002865 | Kyshtym Copper-Electrolytic Plant ZAO | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 88 | Gold | CID001032 | L'azurde Company For Jewelry | SAUDI ARABIA | RMI Due Diligence Review - Unable to Proceed |
| 89 | Gold | CID002762 | L'Orfebre S.A. | ANDORRA | Conformant |
| 90 | Gold | CID001056 | Lingbao Gold Co., Ltd. | CHINA | Outreach Required |

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|-----|------|-----------|--|--------------------------|-------------------|
| 91 | Gold | CID001058 | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | CHINA | Outreach Required |
| 92 | Gold | CID001078 | LS-NIKKO Copper Inc. | KOREA, REPUBLIC OF | Conformant |
| 93 | Gold | CID000689 | LT Metal Ltd. | KOREA, REPUBLIC OF | Conformant |
| 94 | Gold | CID001093 | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | CHINA | Outreach Required |
| 95 | Gold | CID002606 | Marsam Metals | BRAZIL | Non Conformant |
| 96 | Gold | CID001113 | Materion | UNITED STATES OF AMERICA | Conformant |
| 97 | Gold | CID001119 | Matsuda Sangyo Co., Ltd. | JAPAN | Conformant |
| 98 | Gold | CID003548 | MD Overseas | INDIA | In Communication |
| 99 | Gold | CID003575 | Metal Concentrators SA (Pty) Ltd. | SOUTH AFRICA | Conformant |
| 100 | Gold | CID003557 | Metallix Refining Inc. | UNITED STATES OF AMERICA | In Communication |
| 101 | Gold | CID001149 | Metalor Technologies (Hong Kong) Ltd. | CHINA | Conformant |
| 102 | Gold | CID001152 | Metalor Technologies (Singapore) Pte., Ltd. | SINGAPORE | Conformant |
| 103 | Gold | CID001147 | Metalor Technologies (Suzhou) Ltd. | CHINA | Conformant |
| 104 | Gold | CID001153 | Metalor Technologies S.A. | SWITZERLAND | Conformant |
| 105 | Gold | CID001157 | Metalor USA Refining Corporation | UNITED STATES OF AMERICA | Conformant |

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|-----|------|-----------|---|--------------------|--|
| 106 | Gold | CID001161 | Metalúrgica Met-Mex Peñoles S.A. De C.V. | MEXICO | Conformant |
| 107 | Gold | CID001188 | Mitsubishi Materials Corporation | JAPAN | Conformant |
| 108 | Gold | CID001193 | Mitsui Mining and Smelting Co., Ltd. | JAPAN | Conformant |
| 109 | Gold | CID002509 | MMTC-PAMP India Pvt., Ltd. | INDIA | Conformant |
| 110 | Gold | CID002857 | Modeltech Sdn Bhd | MALAYSIA | Non Conformant |
| 111 | Gold | CID002282 | Morris and Watson | NEW ZEALAND | In Communication |
| 112 | Gold | CID001204 | Moscow Special Alloys Processing Plant | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 113 | Gold | CID001220 | Nadir Metal Rafineri San. Ve Tic. A.Ş. | TURKEY | Conformant |
| 114 | Gold | CID001236 | Navoi Mining and Metallurgical Combinat | UZBEKISTAN | Conformant |
| 115 | Gold | CID003189 | NH Recytech Company | KOREA, REPUBLIC OF | Conformant |
| 116 | Gold | CID001259 | Nihon Material Co., Ltd. | JAPAN | Conformant |
| 117 | Gold | CID002779 | Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH | AUSTRIA | Conformant |
| 118 | Gold | CID001325 | Ohura Precious Metal Industry Co., Ltd. | JAPAN | Conformant |
| 119 | Gold | CID001326 | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|------|-----------|--|--------------------------|--|
| 120 | Gold | CID001352 | PAMP S.A. | SWITZERLAND | Conformant |
| 121 | Gold | CID002872 | Pease & Curren | UNITED STATES OF AMERICA | Communication Suspended - Not Interested |
| 122 | Gold | CID001362 | Penglai Penggang Gold Industry Co., Ltd. | CHINA | Outreach Required |
| 123 | Gold | CID002919 | Planta Recuperadora de Metales SpA | CHILE | Conformant |
| 124 | Gold | CID001386 | Prioksky Plant of Non-Ferrous Metals | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 125 | Gold | CID001397 | PT Aneka Tambang (Persero) Tbk | INDONESIA | Conformant |
| 126 | Gold | CID001498 | PX Précinox S.A. | SWITZERLAND | Conformant |
| 127 | Gold | CID003324 | QG Refining, LLC | UNITED STATES OF AMERICA | Outreach Required |
| 128 | Gold | CID001512 | Rand Refinery (Pty) Ltd. | SOUTH AFRICA | Conformant |
| 129 | Gold | CID000522 | Refinery of Seemine Gold Co., Ltd. | CHINA | Outreach Required |
| 130 | Gold | CID002582 | REMONDIS PMR B.V. | NETHERLANDS | Conformant |
| 131 | Gold | CID001534 | Royal Canadian Mint | CANADA | Conformant |
| 132 | Gold | CID002761 | SAAMP | FRANCE | Conformant |
| 133 | Gold | CID001546 | Sabin Metal Corp. | UNITED STATES OF AMERICA | Communication Suspended - Not Interested |
| 134 | Gold | CID002973 | Safimet S.p.A | ITALY | Non Conformant |
| 135 | Gold | CID002290 | SAFINA A.S. | CZECHIA | Conformant |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|------|-----------|---|--------------------|--|
| 136 | Gold | CID002853 | Sai Refinery | INDIA | Outreach Required |
| 137 | Gold | CID001555 | Samduck Precious Metals | KOREA, REPUBLIC OF | Non Conformant |
| 138 | Gold | CID001562 | SAMWON Metals Corp. | KOREA, REPUBLIC OF | Communication Suspended - Not Interested |
| 139 | Gold | CID003529 | Sancus ZFS (L'Orfebre, SA) | COLOMBIA | Conformant |
| 140 | Gold | CID003540 | Sellem Industries Ltd. | MAURITANIA | Communication Suspended - Not Interested |
| 141 | Gold | CID001585 | SEMPSA Joyería Platería S.A. | SPAIN | Conformant |
| 142 | Gold | CID001916 | Shandong Gold Smelting Co., Ltd. | CHINA | Conformant |
| 143 | Gold | CID002525 | Shandong Humon Smelting Co., Ltd. | CHINA | Outreach Required |
| 144 | Gold | CID001619 | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | CHINA | Outreach Required |
| 145 | Gold | CID001622 | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CHINA | Conformant |
| 146 | Gold | CID002750 | Shenzhen CuiLu Gold Co., Ltd. | CHINA | Outreach Required |
| 147 | Gold | CID002527 | Shenzhen Zhonghenglong Real Industry Co., Ltd. | CHINA | Outreach Required |
| 148 | Gold | CID002588 | Shirpur Gold Refinery Ltd. | INDIA | Outreach Required |

Exhibit 1.01 Conflict Minerals Report

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|-----|------|-----------|--|---------------------------|--|
| 149 | Gold | CID001736 | Sichuan Tianze Precious Metals Co., Ltd. | CHINA | Conformant |
| 150 | Gold | CID002516 | Singway Technology Co., Ltd. | TAIWAN, PROVINCE OF CHINA | Non Conformant |
| 151 | Gold | CID001756 | SOE Shyolkovsky Factory of Secondary Precious Metals | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 152 | Gold | CID001761 | Solar Applied Materials Technology Corp. | TAIWAN, PROVINCE OF CHINA | Conformant |
| 153 | Gold | CID003383 | Sovereign Metals | INDIA | Outreach Required |
| 154 | Gold | CID003153 | State Research Institute Center for Physical Sciences and Technology | LITHUANIA | Outreach Required |
| 155 | Gold | CID002567 | Sudan Gold Refinery | SUDAN | Outreach Required |
| 156 | Gold | CID001798 | Sumitomo Metal Mining Co., Ltd. | JAPAN | Conformant |
| 157 | Gold | CID002918 | SungEel HiMetal Co., Ltd. | KOREA, REPUBLIC OF | Conformant |
| 158 | Gold | CID001810 | Super Dragon Technology Co., Ltd. | TAIWAN, PROVINCE OF CHINA | Outreach Required |
| 159 | Gold | CID002580 | T.C.A S.p.A | ITALY | Conformant |
| 160 | Gold | CID001875 | Tanaka Kikinzoku Kogyo K.K. | JAPAN | Conformant |
| 161 | Gold | CID001938 | Tokuriki Honten Co., Ltd. | JAPAN | Conformant |
| 162 | Gold | CID001947 | Tongling Nonferrous Metals Group Co., Ltd. | CHINA | Outreach Required |
| 163 | Gold | CID002615 | TOO Tau-Ken-Altyn | KAZAKHSTAN | Conformant |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|----------|-----------|---|--------------------------|-------------------|
| 164 | Gold | CID001955 | Torecom | KOREA, REPUBLIC OF | Conformant |
| 165 | Gold | CID002314 | Umicore Precious Metals Thailand | THAILAND | Non Conformant |
| 166 | Gold | CID001980 | Umicore S.A. Business Unit Precious Metals Refining | BELGIUM | Conformant |
| 167 | Gold | CID001993 | United Precious Metal Refining, Inc. | UNITED STATES OF AMERICA | Conformant |
| 168 | Gold | CID002003 | Valcambi S.A. | SWITZERLAND | Conformant |
| 169 | Gold | CID003617 | Value Trading | BELGIUM | Not Applicable |
| 170 | Gold | CID003615 | WEEEREFINING | FRANCE | Active |
| 171 | Gold | CID002030 | Western Australian Mint (T/a The Perth Mint) | AUSTRALIA | Conformant |
| 172 | Gold | CID002778 | WIELAND Edelmetalle GmbH | GERMANY | Conformant |
| 173 | Gold | CID002100 | Yamakin Co., Ltd. | JAPAN | Conformant |
| 174 | Gold | CID002129 | Yokohama Metal Co., Ltd. | JAPAN | Conformant |
| 175 | Gold | CID000197 | Yunnan Copper Industry Co., Ltd. | CHINA | Outreach Required |
| 176 | Gold | CID002214 | Zhongkuang Gold Industry Co., Ltd. | CHILE | Not Applicable |
| 177 | Gold | CID002224 | Smelter not listed | CHINA | Conformant |
| 178 | Tantalum | CID001076 | AMG Brasil | BRAZIL | Conformant |
| 179 | Tantalum | CID000211 | Changsha South Tantalum Niobium Co., Ltd. | CHINA | Conformant |

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|-----|----------|-----------|---|--------------------------|------------|
| 180 | Tantalum | CID002504 | D Block Metals, LLC | UNITED STATES OF AMERICA | Conformant |
| 181 | Tantalum | CID000460 | F&X Electro-Materials Ltd. | CHINA | Conformant |
| 182 | Tantalum | CID002505 | FIR Metals & Resource Ltd. | CHINA | Conformant |
| 183 | Tantalum | CID002558 | Global Advanced Metals Aizu | JAPAN | Conformant |
| 184 | Tantalum | CID002557 | Global Advanced Metals Boyertown | UNITED STATES OF AMERICA | Conformant |
| 185 | Tantalum | CID002547 | H.C. Starck Hermsdorf GmbH | GERMANY | Conformant |
| 186 | Tantalum | CID002548 | H.C. Starck Inc. | UNITED STATES OF AMERICA | Conformant |
| 187 | Tantalum | CID002492 | Hengyang King Xing Lifeng New Materials Co., Ltd. | CHINA | Conformant |
| 188 | Tantalum | CID002512 | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CHINA | Conformant |
| 189 | Tantalum | CID002842 | Jiangxi Tuohong New Raw Material | CHINA | Conformant |
| 190 | Tantalum | CID000914 | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CHINA | Conformant |
| 191 | Tantalum | CID000917 | Jiujiang Tanbre Co., Ltd. | CHINA | Conformant |
| 192 | Tantalum | CID002506 | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CHINA | Conformant |
| 193 | Tantalum | CID002539 | KEMET de Mexico | MEXICO | Conformant |

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|-----|----------|-----------|--|--------------------------|--|
| 194 | Tantalum | CID001163 | Metallurgical Products India Pvt., Ltd. | INDIA | Conformant |
| 195 | Tantalum | CID001175 | Mineração Taboca S.A. | BRAZIL | Conformant |
| 196 | Tantalum | CID001192 | Mitsui Mining & Smelting | JAPAN | Conformant |
| 197 | Tantalum | CID001277 | Ningxia Orient Tantalum Industry Co., Ltd. | CHINA | Conformant |
| 198 | Tantalum | CID001200 | NPM Silmet AS | ESTONIA | Conformant |
| 199 | Tantalum | CID001508 | QuantumClean | UNITED STATES OF AMERICA | Conformant |
| 200 | Tantalum | CID002707 | Resind Indústria e Comércio Ltda. | BRAZIL | Conformant |
| 201 | Tantalum | CID003583 | RFH Yancheng Jinye New Material Technology Co., Ltd. | CHINA | Conformant |
| 202 | Tantalum | CID001769 | Solikamsk Magnesium Works OAO | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 203 | Tantalum | CID001869 | Taki Chemical Co., Ltd. | JAPAN | Conformant |
| 204 | Tantalum | CID002544 | TANIOBIS Co., Ltd. | THAILAND | Conformant |
| 205 | Tantalum | CID002545 | TANIOBIS GmbH | GERMANY | Conformant |
| 206 | Tantalum | CID002549 | TANIOBIS Japan Co., Ltd. | JAPAN | Conformant |
| 207 | Tantalum | CID002550 | TANIOBIS Smelting GmbH & Co. KG | GERMANY | Conformant |
| 208 | Tantalum | CID001891 | Telex Metals | UNITED STATES OF AMERICA | Conformant |

Exhibit 1.01 Conflict Minerals Report

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|-----|----------|-----------|--|--------------------------|-------------------|
| 209 | Tantalum | CID001969 | Ulba Metallurgical Plant JSC | KAZAKHSTAN | Conformant |
| 210 | Tantalum | CID000616 | XIMEI RESOURCES (GUANGDONG) LIMITED | CHINA | Conformant |
| 211 | Tantalum | CID002508 | XinXing HaoRong Electronic Material Co., Ltd. | CHINA | Conformant |
| 212 | Tantalum | CID001522 | Yanling Jincheng Tantalum & Niobium Co., Ltd. | CHINA | Conformant |
| 213 | Tin | CID000292 | Alpha | UNITED STATES OF AMERICA | Conformant |
| 214 | Tin | CID002703 | An Vinh Joint Stock Mineral Processing Company | VIET NAM | Outreach Required |
| 215 | Tin | CID000228 | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CHINA | Conformant |
| 216 | Tin | CID003190 | Chifeng Dajingzi Tin Industry Co., Ltd. | CHINA | Conformant |
| 217 | Tin | CID001070 | China Tin Group Co., Ltd. | CHINA | Conformant |
| 218 | Tin | CID003486 | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | BRAZIL | Conformant |
| 219 | Tin | CID003524 | CRM Synergies | SPAIN | Conformant |
| 220 | Tin | CID002455 | CV Venus Inti Perkasa | INDONESIA | Conformant |

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| | | | | | |
|-----|-----|-----------|---|----------------------------------|-------------------|
| 221 | Tin | CID003356 | Dongguan CiEXPO Environmental Engineering Co., Ltd. | CHINA | Non Conformant |
| 222 | Tin | CID000402 | Dowa | JAPAN | Conformant |
| 223 | Tin | CID002572 | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | VIET NAM | Non Conformant |
| 224 | Tin | CID000438 | EM Vinto | BOLIVIA (PLURINATIONAL STATE OF) | Conformant |
| 225 | Tin | CID000448 | Estanho de Rondônia S.A. | BRAZIL | Conformant |
| 226 | Tin | CID003582 | Fabrica Auricchio Industria e Comercio Ltda. | BRAZIL | Conformant |
| 227 | Tin | CID000468 | Fenix Metals | POLAND | Conformant |
| 228 | Tin | CID003410 | Gejiu City Fuxiang Industry and Trade Co., Ltd. | CHINA | Outreach Required |
| 229 | Tin | CID000942 | Gejiu Kai Meng Industry and Trade LLC | CHINA | Non Conformant |
| 230 | Tin | CID000538 | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CHINA | Conformant |
| 231 | Tin | CID001908 | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | CHINA | Non Conformant |
| 232 | Tin | CID000555 | Gejiu Zili Mining And Metallurgy Co., Ltd. | CHINA | Non Conformant |
| 233 | Tin | CID003116 | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | CHINA | Conformant |

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|-----|-----|-----------|--|--------------------------|--|
| 234 | Tin | CID001231 | Jiangxi New Nanshan Technology Ltd. | CHINA | Conformant |
| 235 | Tin | CID003387 | Luna Smelter, Ltd. | RWANDA | Conformant |
| 236 | Tin | CID003379 | Ma'anshan Weitai Tin Co., Ltd. | CHINA | Conformant |
| 237 | Tin | CID002468 | Magnu's Minerai's Metais e Ligas Ltda. | BRAZIL | Conformant |
| 238 | Tin | CID001105 | Malaysia Smelting Corporation (MSC) | MALAYSIA | Conformant |
| 239 | Tin | CID002500 | Melt Metais e Ligas S.A. | BRAZIL | Non Conformant |
| 240 | Tin | CID001142 | Metallic Resources, Inc. | UNITED STATES OF AMERICA | Conformant |
| 241 | Tin | CID002773 | Metallo Belgium N.V. | BELGIUM | Conformant |
| 242 | Tin | CID002774 | Metallo Spain S.L.U. | SPAIN | Conformant |
| 243 | Tin | CID001173 | Mineração Taboca S.A. | BRAZIL | Conformant |
| 244 | Tin | CID001182 | Minsur | PERU | Conformant |
| 245 | Tin | CID001191 | Mitsubishi Materials Corporation | JAPAN | Conformant |
| 246 | Tin | CID002858 | Modeltech Sdn Bhd | MALAYSIA | Non Conformant |
| 247 | Tin | CID002573 | Nghe Tinh Non-Ferrous Metals Joint Stock Company | VIET NAM | Outreach Required |
| 248 | Tin | CID001305 | Novosibirsk Processing Plant Ltd. | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 249 | Tin | CID001314 | O.M. Manufacturing (Thailand) Co., Ltd. | THAILAND | Conformant |

Exhibit 1.01 Conflict Minerals Report

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|-----|-----|-----------|--|----------------------------------|-------------------|
| 250 | Tin | CID002517 | O.M. Manufacturing Philippines, Inc. | PHILIPPINES | Conformant |
| 251 | Tin | CID001337 | Operaciones Metalúrgicas S.A. | BOLIVIA (PLURINATIONAL STATE OF) | Conformant |
| 252 | Tin | CID003208 | Pongpipat Company Limited | MYANMAR | Outreach Required |
| 253 | Tin | CID003409 | Precious Minerals and Smelting Limited | INDIA | Non Conformant |
| 254 | Tin | CID000309 | PT Aries Kencana Sejahtera | INDONESIA | Conformant |
| 255 | Tin | CID001399 | PT Artha Cipta Langgeng | INDONESIA | Conformant |
| 256 | Tin | CID002503 | PT ATD Makmur Mandiri Jaya | INDONESIA | Conformant |
| 257 | Tin | CID001402 | PT Babel Inti Perkasa | INDONESIA | Conformant |
| 258 | Tin | CID001406 | PT Babel Surya Alam Lestari | INDONESIA | Conformant |
| 259 | Tin | CID003205 | PT Bangka Serumpun | INDONESIA | Conformant |
| 260 | Tin | CID001421 | PT Belitung Industri Sejahtera | INDONESIA | Active |
| 261 | Tin | CID001428 | PT Bukit Timah | INDONESIA | Conformant |
| 262 | Tin | CID002696 | PT Cipta Persada Mulia | INDONESIA | Conformant |
| 263 | Tin | CID002835 | PT Menara Cipta Mulia | INDONESIA | Conformant |
| 264 | Tin | CID001453 | PT Mitra Stania Prima | INDONESIA | Conformant |
| 265 | Tin | CID003449 | PT Mitra Sukses Globalindo | INDONESIA | Conformant |

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|-----|-----|-----------|--------------------------------------|------------------------------|------------------|
| 266 | Tin | CID001457 | PT Panca Mega Persada | INDONESIA | In Communication |
| 267 | Tin | CID001458 | PT Prima Timah Utama | INDONESIA | Conformant |
| 268 | Tin | CID003868 | PT Putera Sarana Shakti (PT PSS) | INDONESIA | Conformant |
| 269 | Tin | CID003381 | PT Rajawali Rimba Perkasa | INDONESIA | Conformant |
| 270 | Tin | CID001460 | PT Refined Bangka Tin | INDONESIA | Conformant |
| 271 | Tin | CID001463 | PT Sariwiguna Binasantosa | INDONESIA | Conformant |
| 272 | Tin | CID001468 | PT Stanindo Inti Perkasa | INDONESIA | Conformant |
| 273 | Tin | CID002816 | PT Sukses Inti Makmur | INDONESIA | Conformant |
| 274 | Tin | CID001486 | PT Timah Nusantara | INDONESIA | Active |
| 275 | Tin | CID001477 | PT Timah Tbk Kundur | INDONESIA | Conformant |
| 276 | Tin | CID001482 | PT Timah Tbk Mentok | INDONESIA | Conformant |
| 277 | Tin | CID001490 | PT Tinindo Inter Nusa | INDONESIA | Non Conformant |
| 278 | Tin | CID002478 | PT Tirus Putra Mandiri | INDONESIA | In Communication |
| 279 | Tin | CID001493 | PT Tommy Utama | INDONESIA | Conformant |
| 280 | Tin | CID002706 | Resind Indústria e Comércio Ltda. | BRAZIL | Conformant |
| 281 | Tin | CID001539 | Rui Da Hung | TAIWAN, PROVINCE OF CHINA | Conformant |
| 282 | Tin | CID002756 | Super Ligas | BRAZIL | Active |
| 283 | Tin | CID001898 | Thaisarco | THAILAND | Conformant |

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|-----|----------|-----------|--|--------------------------|--|
| 284 | Tin | CID002180 | Tin Smelting Branch of Yunnan Tin Co., Ltd. | CHINA | Conformant |
| 285 | Tin | CID003325 | Tin Technology & Refining | UNITED STATES OF AMERICA | Conformant |
| 286 | Tin | CID002574 | Tuyen Quang Non-Ferrous Metals Joint Stock Company | VIET NAM | Outreach Required |
| 287 | Tin | CID002015 | VQB Mineral and Trading Group JSC | VIET NAM | Outreach Required |
| 288 | Tin | CID002036 | White Solder Metalurgia e Mineração Ltda. | BRAZIL | Conformant |
| 289 | Tin | CID002158 | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA | Conformant |
| 290 | Tin | CID003397 | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | CHINA | Non Conformant |
| 291 | Tungsten | CID000004 | A.L.M.T. Corp. | JAPAN | Conformant |
| 292 | Tungsten | CID002833 | ACL Metais Eireli | BRAZIL | Non Conformant |
| 293 | Tungsten | CID003427 | Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. | BRAZIL | Non Conformant |
| 294 | Tungsten | CID003553 | Artek LLC | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 295 | Tungsten | CID002502 | Asia Tungsten Products Vietnam Ltd. | VIET NAM | Conformant |
| 296 | Tungsten | CID002513 | Chenzhou Diamond Tungsten Products Co., Ltd. | CHINA | Conformant |
| 297 | Tungsten | CID002641 | China Molybdenum Co., Ltd. | CHINA | Conformant |

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|-----|----------|-----------|--|--------------------------|-------------------|
| 298 | Tungsten | CID000258 | Chongyi Zhangyuan Tungsten Co., Ltd. | CHINA | Conformant |
| 299 | Tungsten | CID000281 | CNMC (Guangxi) PGMA Co., Ltd. | CHINA | Outreach Required |
| 300 | Tungsten | CID003468 | Cronimet Brasil Ltda | BRAZIL | Conformant |
| 301 | Tungsten | CID003401 | Fujian Ganmin RareMetal Co., Ltd. | CHINA | Conformant |
| 302 | Tungsten | CID003609 | Fujian Xinlu Tungsten | CHINA | Conformant |
| 303 | Tungsten | CID002645 | Ganzhou Haichuang Tungsten Co., Ltd. | CHINA | Conformant |
| 304 | Tungsten | CID000875 | Ganzhou Huaxing Tungsten Products Co., Ltd. | CHINA | Conformant |
| 305 | Tungsten | CID002315 | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CHINA | Conformant |
| 306 | Tungsten | CID002494 | Ganzhou Seadragon W & Mo Co., Ltd. | CHINA | Conformant |
| 307 | Tungsten | CID000568 | Global Tungsten & Powders Corp. | UNITED STATES OF AMERICA | Conformant |
| 308 | Tungsten | CID000218 | Guangdong Xianglu Tungsten Co., Ltd. | CHINA | Conformant |
| 309 | Tungsten | CID002541 | H.C. Starck Tungsten GmbH | GERMANY | Conformant |
| 310 | Tungsten | CID003417 | Jingmen Dewei GEM Tungsten Resources Recycling Co., Ltd. | CHINA | Conformant |
| 311 | Tungsten | CID000766 | Hunan Chenzhou Mining Co., Ltd. | CHINA | Conformant |

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|-----|----------|-----------|---|--------------------------|--|
| 312 | Tungsten | CID000769 | Hunan Chunchang Nonferrous Metals Co., Ltd. | CHINA | Conformant |
| 313 | Tungsten | CID002649 | Hydrometallurg, JSC | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 314 | Tungsten | CID000825 | Japan New Metals Co., Ltd. | JAPAN | Conformant |
| 315 | Tungsten | CID002551 | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CHINA | Conformant |
| 316 | Tungsten | CID002321 | Jiangxi Gan Bei Tungsten Co., Ltd. | CHINA | Conformant |
| 317 | Tungsten | CID002313 | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | CHINA | Communication Suspended - Not Interested |
| 318 | Tungsten | CID002318 | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CHINA | Conformant |
| 319 | Tungsten | CID002317 | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CHINA | Conformant |
| 320 | Tungsten | CID002316 | Jiangxi Yaosheng Tungsten Co., Ltd. | CHINA | Conformant |
| 321 | Tungsten | CID003408 | JSC "Kirovgrad Hard Alloys Plant" | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 322 | Tungsten | CID000966 | Kennametal Fallon | UNITED STATES OF AMERICA | Conformant |
| 323 | Tungsten | CID000105 | Kennametal Huntsville | UNITED STATES OF AMERICA | Conformant |

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|-----|----------|-----------|---|---------------------------|--|
| 324 | Tungsten | CID003407 | Lianyou Metals Co., Ltd. | TAIWAN, PROVINCE OF CHINA | Conformant |
| 325 | Tungsten | CID003643 | LLC Vostok | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 326 | Tungsten | CID002319 | Malipo Haiyu Tungsten Co., Ltd. | CHINA | Conformant |
| 327 | Tungsten | CID002543 | Masan High-Tech Materials | VIET NAM | Conformant |
| 328 | Tungsten | CID002845 | Moliren Ltd. | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 329 | Tungsten | CID002589 | Niagara Refining LLC | UNITED STATES OF AMERICA | Conformant |
| 330 | Tungsten | CID003416 | NPP Tyazhmetprom LLC | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 331 | Tungsten | CID003614 | OOO "Technolom" 1 | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 332 | Tungsten | CID003612 | OOO "Technolom" 2 | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 333 | Tungsten | CID002827 | Philippine Chuangxin Industrial Co., Inc. | PHILIPPINES | Conformant |
| 334 | Tungsten | CID002542 | TANIOBIS Smelting GmbH & Co. KG | GERMANY | Conformant |
| 335 | Tungsten | CID002724 | Unecha Refractory Metals Plant | RUSSIAN FEDERATION | Non Conformant |
| 336 | Tungsten | CID002044 | Wolfram Bergbau und Hütten AG | AUSTRIA | Conformant |

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|-----|----------|-----------|---|------------------------------|----------------|
| 337 | Tungsten | CID002320 | Xiamen Tungsten (H.C.) Co., Ltd. | CHINA | Conformant |
| 338 | Tungsten | CID002082 | Xiamen Tungsten Co., Ltd. | CHINA | Conformant |
| 339 | Tungsten | CID002830 | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | CHINA | Conformant |
| 340 | Gold | CID000362 | DODUCO Contacts and Refining GmbH | GERMANY | Not Applicable |
| 341 | Gold | CID002777 | SAXONIA Edelmetalle GmbH | GERMANY | Not Applicable |
| 342 | Tantalum | CID000092 | Asaka Riken Co., Ltd. | JAPAN | Not Applicable |
| 343 | Tantalum | CID000456 | Exotech Inc. | UNITED STATES OF AMERICA | Not Applicable |
| 344 | Tantalum | CID000291 | Guangdong Rising Rare Metals-EO Materials Ltd. | CHINA | Not Applicable |
| 345 | Tantalum | CID002847 | Meta Materials | NORTH MACEDONIA, REPUBLIC OF | Not Applicable |
| 346 | Tin | CID002844 | HuiChang Hill Tin Industry Co., Ltd. | CHINA | Conformant |
| 347 | Tin | CID000760 | Huichang Jinshunda Tin Co., Ltd. | CHINA | Not Applicable |
| 348 | Tin | CID002593 | PT Rajehan Ariq | INDONESIA | Conformant |
| 349 | Tin | CID001758 | Soft Metais Ltda. | BRAZIL | Not Applicable |
| 350 | Tin | CID002834 | Thai Nguyen Mining and Metallurgy Co., Ltd. | VIET NAM | Conformant |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|----------|-----------|--|--------------------|----------------|
| 351 | Tungsten | CID002579 | Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji | CHINA | Not Applicable |
| 352 | Tungsten | CID003388 | KGETS Co., Ltd. | KOREA, REPUBLIC OF | Conformant |
| 353 | Tungsten | CID002843 | Woltech Korea Co., Ltd. | KOREA, REPUBLIC OF | Not Applicable |
| 354 | Cobalt | CID003210 | Lanzhou Jinchuan Advanced Materials Technology Co., Ltd. | CHINA | Conformant |
| 355 | Cobalt | CID003212 | Ganzhou Tengyuan Cobalt New Material Co., Ltd. | CHINA | Conformant |
| 356 | Cobalt | CID003225 | Zhejiang Huayou Cobalt Company Limited | CHINA | Conformant |
| 357 | Cobalt | CID003226 | Umicore Finland Oy | FINLAND | Conformant |
| 358 | Cobalt | CID003228 | Umicore Olen | BELGIUM | Conformant |
| 359 | Cobalt | CID003472 | Smelter not listed | FINLAND | Not Applicable |
| 360 | Mica | 0 | Smelter not listed | India | #N/A |
| 361 | Cobalt | CID003384 | Ganzhou Highpower Technology Co., Ltd. | CHINA | Conformant |
| 362 | Cobalt | CID003406 | Murrin Murrin Nickel Cobalt Plant | AUSTRALIA | Conformant |
| 363 | Cobalt | CID003390 | NORILSK NICKEL HARJAVALTA OY | FINLAND | Conformant |
| 364 | Cobalt | CID003255 | Quzhou Huayou Cobalt New Material Co., Ltd. | CHINA | Conformant |
| 365 | Cobalt | CID003240 | Smelter Not Listed | CANADA | Not Applicable |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|--------|-----------|---|--------------------|------------------|
| 366 | Cobalt | CID003559 | Smelter Not Listed | CANADA | Not Applicable |
| 367 | Cobalt | CID003723 | Smelter Not Listed | CANADA | Not Applicable |
| 368 | Cobalt | CID003403 | Glencore Nikkelverk Refinery | NORWAY | In Communication |
| 369 | Cobalt | CID003291 | Guangdong Jiana Energy Technology Co., Ltd. | CHINA | Conformant |
| 370 | Cobalt | CID003411 | Hunan CNGR New Energy Science & Technology Co., Ltd. | CHINA | Conformant |
| 371 | Cobalt | CID003293 | Jiangsu Xiongfeng Technology Co., Ltd. | CHINA | Conformant |
| 372 | Cobalt | CID003279 | Mine de Bou-Azzer | MOROCCO | Conformant |
| 373 | Cobalt | CID003278 | Niihama Nickel Refinery, Sumitomo Metal Mining | JAPAN | Conformant |
| 374 | Cobalt | CID003215 | Tianjin Maolian Science & Technology Co., Ltd. | CHINA | Conformant |
| 375 | Cobalt | CID003338 | SungEel HiMetal Co., Ltd. | KOREA, REPUBLIC OF | Conformant |
| 376 | Cobalt | CID003209 | Gem (Jiangsu) Cobalt Industry Co., Ltd. | CHINA | Conformant |
| 377 | Cobalt | CID003213 | Guangxi Yinyi Advanced Material Co., Ltd. | CHINA | Conformant |
| 378 | Cobalt | CID003211 | Zhuhai Kelixin Metal Materials Co., Ltd. | CHINA | Conformant |
| 379 | Cobalt | CID003221 | Nantong Xinwei Nickel Cobalt Technology Development Co., Ltd. | CHINA | Non Conformant |
| 380 | Cobalt | CID003280 | Compagnie de Tifnout Tiranimine | MOROCCO | Conformant |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|--------|-----------|---|--------------------|--|
| 381 | Cobalt | CID003378 | Jingmen GEM Co., Ltd. | CHINA | Conformant |
| 382 | Cobalt | CID003398 | New Era Group Zhejiang Zhongneng Cycle Technology Co., Ltd. | CHINA | Conformant |
| 383 | Cobalt | CID003404 | Hunan Yacheng New Materials Co., Ltd. | CHINA | Conformant |
| 384 | Cobalt | CID003415 | Cosmo Chemical, Ltd. | KOREA, REPUBLIC OF | Conformant |
| 385 | Cobalt | CID003219 | Hunan Brunp Recycling Technology Co., Ltd. | CHINA | In Communication |
| 386 | Cobalt | CID003227 | Gangzhou Yi Hao Umicore Industry Co. | CHINA | In Communication |
| 387 | Cobalt | CID003232 | Dynatec Madagascar Company | MADAGASCAR | Conformant |
| 388 | Cobalt | CID003233 | JSC Kolskaya Mining and Metallurgical Company (Kola MMC) | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 389 | Cobalt | CID003242 | Sherritt | CANADA | RMI Due Diligence Review - Unable to Proceed |
| 390 | Cobalt | CID003252 | Nanjing Hanrui Cobalt | CHINA | Outreach Required |
| 391 | Cobalt | CID003377 | Jiangxi Jiangwu Cobalt industrial Co., Ltd. | CHINA | Active |
| 392 | Cobalt | CID003422 | Ningbo Yanmen Chemical Co., Ltd. | CHINA | Outreach Required |
| 393 | Cobalt | CID003447 | Jiangxi Rui da Xinnengyuan Technology Co., Ltd. | CHINA | Outreach Required |

Exhibit 1.01 Conflict Minerals Report

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|-----|--------|-----------|---|--|-------------------|
| 394 | Cobalt | CID003491 | ICoNiChem | UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND | Outreach Required |
| 395 | Cobalt | CID003724 | Mitsui & Co. | JAPAN | Not Applicable |
| 396 | Cobalt | CID003726 | Specialty Metals Resources Ltd | HONG KONG | Not Applicable |
| 397 | Cobalt | CID003264 | Chemaf Etoile | CONGO, DEMOCRATIC REPUBLIC OF THE | Conformant |
| 398 | Cobalt | CID003481 | Chizhou CN New Materials and Technology Co., Ltd. | CHINA | Conformant |
| 399 | Cobalt | CID003473 | CoreMax Corporation | TAIWAN, PROVINCE OF CHINA | Conformant |
| 400 | Cobalt | CID003469 | Fairsky Industrial Co., Limited | CHINA | Outreach Required |
| 401 | Cobalt | CID003470 | Hunan Jinxin New Material Holding Co., Ltd. | CHINA | Active |
| 402 | Cobalt | CID003467 | Hunan Shiji Yintian New Material Co., Ltd. | CHINA | Non Conformant |
| 403 | Cobalt | CID003261 | Kamoto Copper Company | CONGO, DEMOCRATIC REPUBLIC OF THE | Conformant |
| 404 | Cobalt | CID003275 | La Compagnie de Traitement des Rejets de Kingamyambo S.A. | CONGO, DEMOCRATIC REPUBLIC OF THE | Conformant |
| 405 | Cobalt | CID003537 | Mechema Chemicals (Thailand) Co., Ltd. | THAILAND | Outreach Required |
| 406 | Cobalt | CID003536 | Mechema Chemicals shang-yu | CHINA | Outreach Required |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|--------|-----------|---|-----------------------------------|-------------------|
| 407 | Cobalt | CID003535 | Mechema Korea, Co., Ltd. | KOREA, REPUBLIC OF | Outreach Required |
| 408 | Cobalt | CID003533 | Mechema Taiwan Plant 1 | TAIWAN, PROVINCE OF CHINA | Outreach Required |
| 409 | Cobalt | CID003534 | Mechema Taiwan Plant 2 | TAIWAN, PROVINCE OF CHINA | Conformant |
| 410 | Cobalt | CID003465 | Ningbo Hubang New Material Co., Ltd. | CHINA | Conformant |
| 411 | Cobalt | CID003239 | Port Colborne Refinery | CANADA | Active |
| 412 | Cobalt | CID003538 | PT Mechema Indonesia | INDONESIA | Outreach Required |
| 413 | Cobalt | CID003426 | SOCIETE MINIERE DU KATANGA (SOMIKA SARL) | CONGO, DEMOCRATIC REPUBLIC OF THE | Active |
| 414 | Cobalt | CID003266 | Societe pour le Traitment du Terril de Lubumbashi (STL) | CONGO, DEMOCRATIC REPUBLIC OF THE | Active |
| 415 | Cobalt | CID003429 | Tenke Fungurume | CONGO, DEMOCRATIC REPUBLIC OF THE | Active |
| 416 | Cobalt | CID003466 | Xiangtan Huacheng Nickel Cobalt New Material Co., Ltd. | CHINA | Outreach Required |
| 417 | Cobalt | CID003376 | XTC New Energy Materials (Xiamen) LTD. | CHINA | Outreach Required |
| 418 | Cobalt | CID003526 | Zhejiang Zhongjin Greatpower Lithium-Battery Industrial Corporation Co., Ltd. | CHINA | Conformant |
| 419 | Cobalt | CID003282 | Smelter not yet identified | PHILIPPINES | Not Applicable |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|--------|-----------|---|---|----------------------|
| 420 | Cobalt | CID003283 | Smelter not yet identified | PHILIPPINES | Not Applicable |
| 421 | Cobalt | CID003492 | Smelter not listed | CHINA | Not Applicable |
| 422 | Cobalt | CID003493 | Smelter not listed | RUSSIAN FEDERATION | Not Applicable |
| 423 | Cobalt | CID003494 | Smelter not listed | CHINA | Not Applicable |
| 424 | Cobalt | | Smelter not listed | JAPAN | #N/A |
| 425 | Tin | CID002570 | CV Ayi Jaya | INDONESIA | Conformant |
| 426 | Tin | CID002776 | PT Bangka Prima Tin | INDONESIA | Conformant |
| 427 | Cobalt | CID003577 | Harima Refinery, Sumitomo Metal Mining | JAPAN | Conformant |
| 428 | Mica | CID003512 | Yamaguchi Mica | JAPAN | Active |
| 429 | Tin | | Yunnan Tin Company, Ltd. | CHINA | #N/A |
| 430 | Mica | CID003591 | Imerys Mica Kings Mountain, Inc. | UNITED STATES OF AMERICA | Outreach Required |
| 431 | Tin | CID003380 | PT Masbro Alam Stania | INDONESIA | Not Applicable |
| 432 | Tin | CID000313 | PT Premium Tin Indonesia | INDONESIA | Conformant |
| 433 | Cobalt | CID003303 | Vale New Caledonia | NEW CALEDONIA | In Communication |
| 434 | Cobalt | CID003385 | METAL MINES SARL | CONGO, DEMOCRATIC REPUBLIC OF THE | Outreach Required |
| 435 | Cobalt | CID003442 | Ruashi Mining SAS | CONGO, DEMOCRATIC REPUBLIC OF THE | Outreach Required |

Exhibit 1.01 Conflict Minerals Report

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|-----|--------|-----------|--|-----------------------------------|--|
| 436 | Cobalt | CID003464 | MKM - La Miniere de Kalumbwe Myunga | CONGO, DEMOCRATIC REPUBLIC OF THE | Outreach Required |
| 437 | Mica | CID003513 | Modi Mica Enterprises | INDIA | Outreach Required |
| 438 | Cobalt | CID003571 | Hefei Rongjie Metal Technology Co., Ltd. | CHINA | In Communication |
| 439 | Cobalt | CID003584 | Vale – Long Harbour Processing Plant (LHPP) | CANADA | Communication Suspended - Not Interested |
| 440 | Mica | CID003592 | Arctic Minerals, LLC | UNITED STATES OF AMERICA | Outreach Required |
| 441 | Cobalt | CID003610 | Guizhou CNGR Resource Recycling Industry Development Co., Ltd. | CHINA | Conformant |
| 442 | Mica | CID003621 | DARUKA INTERNATIONAL | INDIA | Outreach Required |
| 443 | Mica | CID003626 | DARUKA MINERALS | INDIA | Outreach Required |
| 444 | Cobalt | CID003640 | W&Q Metal Products Co., Limited | CHINA | Outreach Required |
| 445 | Mica | CID003664 | JSC "Sludyanyaya Fabrika" | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 446 | Mica | CID003787 | Nanjing Jinyun Mica Ltd. | CHINA | Outreach Required |
| 447 | Cobalt | CID003803 | Vital Materials | CHINA | Outreach Required |
| 448 | Mica | CID003970 | Yamaguchi Mica Co., Ltd. Toyohashi Factory | JAPAN | Active |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|--------|-----------|--|-----------------------------------|--|
| 449 | Mica | CID003971 | Yamaguchi Mica Co., Ltd. Shinshiro Factory | JAPAN | Active |
| 450 | Cobalt | CID003423 | Chemaf Usoke | CONGO, DEMOCRATIC REPUBLIC OF THE | Not Applicable |
| 451 | Tin | CID003831 | DS Myanmar | MYANMAR | Conformant |
| 452 | Tin | CID001419 | Smelter not listed | INDONESIA | In Communication |
| 453 | Cobalt | CID003704 | LLC Vostok | RUSSIAN FEDERATION | RMI Due Diligence Review - Unable to Proceed |
| 454 | Cobalt | CID003927 | Anhui Hanrui New Materials Co., Ltd. | CHINA | Conformant |
| 455 | Cobalt | CID003286 | Smelter not listed | ZAMBIA | Not Applicable |
| 456 | Tin | CID000244 | Jiangxi Ketai Advanced Material Co., Ltd. | CHINA | Not Applicable |
| 457 | Tin | CID000278 | CNMC (Guangxi) PGMA Co., Ltd. | CHINA | Not Applicable |
| 458 | Tin | CID000306 | CV Gita Pesona | INDONESIA | Not Applicable |
| 459 | Tin | CID000315 | CV United Smelting | INDONESIA | Not Applicable |
| 460 | Tin | CID001434 | PT DS Jaya Abadi | INDONESIA | Not Applicable |
| 461 | Tin | CID001438 | PT Eunindo Usaha Mandiri | INDONESIA | Not Applicable |
| 462 | Tin | CID001448 | PT Karimun Mining | INDONESIA | Not Applicable |
| 463 | Tin | CID001471 | PT Sumber Jaya Indah | INDONESIA | Not Applicable |
| 464 | Gold | CID001977 | Umicore Brasil Ltda. | BRAZIL | Not Applicable |
| 465 | Tin | CID002530 | PT Inti Stania Prima | INDONESIA | Not Applicable |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|----------|-----------|--|---------------------------|-------------------|
| 466 | Tin | CID002592 | CV Dua Sekawan | INDONESIA | Not Applicable |
| 467 | Tin | CID002829 | PT Kijang Jaya Mandiri | INDONESIA | Not Applicable |
| 468 | Tin | CID002848 | Gejiu Fengming Metallurgy Chemical Plant | CHINA | Not Applicable |
| 469 | Tin | CID002849 | Guanyang Guida Nonferrous Metal Smelting Plant | CHINA | Not Applicable |
| 470 | Tin | CID002870 | PT Lautan Harmonis Sejahtera | INDONESIA | Not Applicable |
| 471 | Gold | CID003195 | TSK Pretech | KOREA, REPUBLIC OF | Not Applicable |
| 472 | Cobalt | CID003309 | Shu Powders Ltd. | SOUTH AFRICA | Not Applicable |
| 473 | Tungsten | CID003978 | HANNAE FOR T Co., Ltd. | Korea, Republic Of | Outreach Required |
| 474 | Tungsten | CID004060 | Smelter Not Listed | Korea, Republic Of | In Communication |
| 475 | Gold | CID002760 | Smelter Not Listed | Portugal | Outreach Required |
| 476 | Tungsten | CID003662 | Smelter Not Listed | China | Outreach Required |
| 477 | Tantalum | CID003926 | Smelter Not Listed | Estonia | Outreach Required |
| 478 | Tin | CID001661 | Shen Mao Solder (M) Sdn. Bhd | TAIWAN, PROVINCE OF CHINA | Not Applicable |
| 479 | Tin | CID000307 | PT Justindo | INDONESIA | Not Applicable |
| 480 | Tin | CID002757 | PT O.M. Indonesia | INDONESIA | Not Applicable |
| 481 | Tin | CID002479 | PT Wahana Perkit Jaya | INDONESIA | Not Applicable |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|----------|-----------|---|--------------------------|----------------|
| 482 | Gold | CID001790 | Success Technology (Shenzhen) Co., Ltd. | CHINA | Not Applicable |
| 483 | Tungsten | CID002538 | Sanher Tungsten Vietnam Co., Ltd. | VIET NAM | Non Conformant |
| 484 | Tungsten | CID003182 | Hunan Litian Tungsten Industry Co., Ltd. | CHINA | Not Applicable |
| 485 | Tantalum | CID002568 | KEMET Blue Powder | UNITED STATES OF AMERICA | Not Applicable |
| 486 | Tantalum | CID003191 | Jiujiang Janny New Material Co., Ltd. | CHINA | Not Applicable |
| 487 | Tin | CID001412 | PT Bangka Putra Karya | INDONESIA | Not Applicable |
| 488 | Gold | CID001322 | Elemetal Refining, LLC | UNITED STATES OF AMERICA | Not Applicable |
| 489 | Tin | CID000295 | Cooperativa Metalurgica de Rondonia Ltda. | BRAZIL | Not Applicable |
| 490 | Gold | CID000328 | Daejin Indus Co., Ltd. | KOREA, REPUBLIC OF | Not Applicable |
| 491 | Tungsten | CID000499 | Fujian Jinxin Tungsten Co., Ltd. | CHINA | Not Applicable |
| 492 | Tin | CID001409 | PT Bangka Kudai Tin | INDONESIA | Not Applicable |
| 493 | Tin | CID001416 | PT Bangka Timah Utama Sejahtera | INDONESIA | Not Applicable |
| 494 | Tungsten | CID001889 | Tejing (Vietnam) Tungsten Co., Ltd. | VIET NAM | Not Applicable |
| 495 | Tungsten | CID002095 | Xinhai Rendan Shaoguan Tungsten Co., Ltd. | CHINA | Not Applicable |
| 496 | Tungsten | CID002647 | Jiangxi Xianglu Tungsten Co., Ltd. | CHINA | Not Applicable |

Exhibit 1.01 Conflict Minerals Report

| | | | | | |
|-----|----------|-----------|--|--------------------------|----------------|
| 497 | Tungsten | CID002815 | South-East Nonferrous Metal Company Limited of Hengyang City | CHINA | Not Applicable |
| 498 | Tin | CID002859 | Gejiu Jinye Mineral Company | CHINA | Not Applicable |
| 499 | Gold | CID002866 | Morris and Watson Gold Coast | AUSTRALIA | Not Applicable |
| 500 | Tantalum | CID003402 | CP Metals Inc. | UNITED STATES OF AMERICA | Not Applicable |

Annex 2

Reasonable Country of Origin for 3TG based on the identified smelters and suppliers.²

| Gold | Tantalum | Tin | Tungsten |
|--------------------------|--------------------------|----------------------------------|--------------------------|
| UNITED STATES OF AMERICA | BRAZIL | UNITED STATES OF AMERICA | JAPAN |
| UNITED STATES OF AMERICA | CHINA | CHINA | VIET NAM |
| JAPAN | UNITED STATES OF AMERICA | CHINA | CHINA |
| UNITED ARAB EMIRATES | CHINA | CHINA | CHINA |
| GERMANY | CHINA | BRAZIL | BRAZIL |
| UZBEKISTAN | JAPAN | SPAIN | CHINA |
| BRAZIL | UNITED STATES OF AMERICA | INDONESIA | CHINA |
| SWITZERLAND | GERMANY | INDONESIA | CHINA |
| JAPAN | UNITED STATES OF AMERICA | JAPAN | CHINA |
| CANADA | CHINA | MYANMAR | CHINA |
| UNITED STATES OF AMERICA | CHINA | BOLIVIA (PLURINATIONAL STATE OF) | CHINA |
| JAPAN | CHINA | BRAZIL | UNITED STATES OF AMERICA |
| GERMANY | CHINA | BRAZIL | CHINA |
| INDIA | CHINA | POLAND | GERMANY |
| PHILIPPINES | CHINA | CHINA | CHINA |
| SWEDEN | MEXICO | CHINA | CHINA |
| GERMANY | INDIA | CHINA | CHINA |
| CANADA | BRAZIL | CHINA | CHINA |
| ITALY | JAPAN | RWANDA | JAPAN |
| JAPAN | CHINA | CHINA | CHINA |
| JAPAN | ESTONIA | BRAZIL | CHINA |
| KOREA, REPUBLIC OF | UNITED STATES OF AMERICA | MALAYSIA | CHINA |
| JAPAN | BRAZIL | UNITED STATES OF AMERICA | CHINA |
| JAPAN | CHINA | BELGIUM | CHINA |
| JAPAN | JAPAN | SPAIN | UNITED STATES OF AMERICA |
| UNITED ARAB EMIRATES | THAILAND | BRAZIL | UNITED STATES OF AMERICA |

² The data and the definitions have been obtained from RMI and reflect information as of May 4, 2022.

Exhibit 1.01 Conflict Minerals Report

| | | | |
|--------------------------|--------------------------|----------------------------------|---------------------------|
| UNITED STATES OF AMERICA | GERMANY | PERU | KOREA, REPUBLIC OF |
| COLOMBIA | GERMANY | JAPAN | TAIWAN, PROVINCE OF CHINA |
| CHINA | JAPAN | THAILAND | CHINA |
| GERMANY | UNITED STATES OF AMERICA | PHILIPPINES | VIET NAM |
| GERMANY | KAZAKHSTAN | BOLIVIA (PLURINATIONAL STATE OF) | UNITED STATES OF AMERICA |
| CHINA | CHINA | INDONESIA | PHILIPPINES |
| CHINA | CHINA | INDONESIA | GERMANY |
| JAPAN | CHINA | INDONESIA | #N/A |
| TURKEY | | INDONESIA | AUSTRIA |
| ITALY | | INDONESIA | CHINA |
| JAPAN | | INDONESIA | CHINA |
| CHINA | | INDONESIA | CHINA |
| JAPAN | | INDONESIA | |
| KAZAKHSTAN | | INDONESIA | |
| UNITED STATES OF AMERICA | | INDONESIA | |
| POLAND | | INDONESIA | |
| JAPAN | | INDONESIA | |
| KOREA, REPUBLIC OF | | INDONESIA | |
| ANDORRA | | INDONESIA | |
| KOREA, REPUBLIC OF | | INDONESIA | |
| KOREA, REPUBLIC OF | | INDONESIA | |
| UNITED STATES OF AMERICA | | INDONESIA | |
| JAPAN | | INDONESIA | |
| SOUTH AFRICA | | INDONESIA | |
| CHINA | | INDONESIA | |
| SINGAPORE | | INDONESIA | |
| CHINA | | INDONESIA | |
| SWITZERLAND | | INDONESIA | |
| UNITED STATES OF AMERICA | | INDONESIA | |
| MEXICO | | BRAZIL | |
| JAPAN | | TAIWAN, PROVINCE OF CHINA | |
| JAPAN | | VIET NAM | |
| INDIA | | THAILAND | |
| TURKEY | | CHINA | |
| UZBEKISTAN | | UNITED STATES OF AMERICA | |
| KOREA, REPUBLIC OF | | BRAZIL | |
| JAPAN | | CHINA | |
| AUSTRIA | | | |
| JAPAN | | | |

Exhibit 1.01 Conflict Minerals Report

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|---------------------------|--|--|--|
| SWITZERLAND | | | |
| CHILE | | | |
| INDONESIA | | | |
| SWITZERLAND | | | |
| SOUTH AFRICA | | | |
| NETHERLANDS | | | |
| CANADA | | | |
| FRANCE | | | |
| CZECHIA | | | |
| SPAIN | | | |
| CHINA | | | |
| CHINA | | | |
| CHINA | | | |
| TAIWAN, PROVINCE OF CHINA | | | |
| JAPAN | | | |
| KOREA, REPUBLIC OF | | | |
| ITALY | | | |
| JAPAN | | | |
| JAPAN | | | |
| KAZAKHSTAN | | | |
| KOREA, REPUBLIC OF | | | |
| BELGIUM | | | |
| UNITED STATES OF AMERICA | | | |
| SWITZERLAND | | | |
| AUSTRALIA | | | |
| GERMANY | | | |
| JAPAN | | | |
| JAPAN | | | |
| CHINA | | | |